

**Amendment/Reply**

Applicant: Ian D. Gaston, et al.

Serial No.: 10/691,261

Filed: October 22, 2003

Docket No.: 200901405-1

Title: METHOD FOR STANDARDIZING REPORTING OF ISSUES, ASSUMPTIONS, AND RISKS FOR A RISK REVIEW BOARD

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**IN THE CLAIMS**

Please cancel claims 3, 8, and 13 without prejudice.

Please add claims 16-21.

Please amend claims 1, 2, 4-7, 10-12, and 15 as follows:

1. (Currently Amended) A method in a computer system for standardizing reporting of issues, assumptions, and risks for a risk review board, the method comprising:

presenting via the computer system with a ~~form~~ forms for entering information about a risk management ~~unit~~ units including assumptions, risks, issues, and risk plans;

receiving via the computer system the information about ~~the a~~ risk management unit;

providing to a user a form via the computer system, the form having ~~with~~ entry areas for every element needed to properly adhere to a risk management scheme;

entering via the computer system information needed to properly adhere to a risk management scheme, the information including at least one of a name of a risk owner, an explanation of how the risk came about, a driver of the risk, a milestone of the risk, the last possible start date for action to take place to avoid the impact of the risk, the criticality of the risk, the controllability of the risk, what actions have been taken to-date with respect to the risk, one or more actions that have been identified to prevent the risk from occurring, and the cost of any action; and

hindering via the computer system the user from exiting the form prior to supplying appropriate information necessary for adherence to the risk management scheme.

2. (Currently Amended) The method as recited in claim 1, further comprising:

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generating via the computer system a report in a standardized format based on the information about ~~the~~ a risk.

3. (Cancelled)

4. (Currently Amended) The method as recited in claim 1 further comprising:  
implementing via the computer system, by the risk management scheme, an ABCD risk management scheme, wherein at least one of the letters of "A", "B", "C" and "D" are ascribed to a determined level of risk.

5. (Currently Amended) The method as recited in claim 1, wherein the step of ~~wherein the user is hindered~~ hindering the user from exiting the form prior to supplying appropriate information necessary for adherence to the risk management scheme comprises preventing the user from exiting an entry field until the user has provided an entry for the entry field.

6. (Currently Amended) A computer program product in a computer readable media for use in a data processing system for standardizing reporting of issues, assumptions, and risks for a risk review board, the computer program product comprising:  
first instructions for presenting with ~~a form~~ forms for entering information about ~~a risk management unit~~ units including assumptions, risks, issues, and risk plans, ~~the each~~ each form provides the user with entry areas for elements needed to properly adhere to a risk management scheme, the elements include at least one of a name of a risk owner, an explanation of how the risk came about, a driver of the risk, a milestone of the risk, the last possible start date for action to take place to avoid the impact of the risk, the criticality of the risk, the controllability of the risk, what

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actions have been taken to-date with respect to the risk, one or more actions that have been identified to prevent the risk from occurring, and the cost of any action;

second instructions for receiving the information about ~~the~~a risk management unit; and

third instructions to hinder a user from exiting ~~the~~a form prior to supplying appropriate information necessary for adherence to the risk management scheme.

7. (Currently Amended) The computer program product as recited in claim 6, further comprising: fourth instructions for generating a report in a standardized format based on the information about ~~the~~a risk.

8. (Cancelled)

9. (Previously Presented) The computer program product as recited in claim 6, further comprising fifth instructions for implementing an ABCD risk management scheme, wherein at least one of the letters of "A", "B", "C" and "D" are ascribed to a determined level of risk.

10. (Currently Amended) The computer program product as recited in claim 6, wherein the third instructions to hinder the user is hindered from exiting the a form prior to supplying appropriate information necessary for adherence to the risk management scheme comprises instructions for preventing the user from exiting an entry field until the user has provided an entry for the entry field.

11. (Currently Amended) A system for standardizing reporting of issues, assumptions, and risks for a risk review board, the system comprising:

first means for presenting with ~~a form~~forms for entering information about a risk management ~~unit~~units including assumptions, risks, issues, and risk plans,

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each~~the~~ form provides the user with entry areas for elements needed to properly adhere to a risk management scheme, the elements include at least one of a name of a risk owner, an explanation of how the risk came about, a driver of the risk; a milestone of the risk, the last possible start date for action to take place to avoid the impact of the risk, the criticality of the risk, the controllability of the risk, what actions have been taken to-date with respect to the risk, one or more actions that have been identified to prevent the risk from occurring, and the cost of any action;

second means for receiving the information about ~~the~~a risk management unit;

and

third means for hindering the user from exiting ~~the~~a form prior to supplying appropriate information necessary for adherence to the risk management scheme.

12. (Currently Amended) The system as recited in claim 11, further comprising:

fourth means for generating a report in a standardized format based on the information about ~~the~~a risk.

13. (Cancelled)

14. (Previously Presented) The system as recited in claim 11, further comprising fifth means for implementing an ABCD risk management scheme, wherein at least one of the letters of "A", "B", "C" and "D" are ascribed to a determined level of risk.

15. (Currently Amended) The system as recited in claim 11, wherein the ~~wherein~~  
third means for hindering the user ~~is hindered~~ from exiting ~~the~~a form prior to supplying appropriate information necessary for adherence to the risk management scheme comprises means for preventing the user from exiting an entry field until the user has provided an entry for the entry field.

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16. (New) The method as recited in claim 1, wherein each risk is associated with an assumption.

17. (New) The method as recited in claim 1, wherein each risk plan is associated with a risk.

18. (New) The computer program product as recited in claim 6, wherein each risk is associated with an assumption.

19. (New) The computer program product as recited in claim 6, wherein each risk plan is associated with a risk.

20. (New) The system as recited in claim 11, wherein each risk is associated with an assumption.

21. (New) The system as recited in claim 11, wherein each risk plan is associated with a risk.